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1	Robert D. Mitchell MITCHELL LAW OFFICES A Professional Corporation Anchor Centre One, Suite 122B 2201 East Camelback Road Phoenix, Arizona 85016 Telephone (602) 468-6450	RECEIVED		
2		2004 OCT 29 P 4: 46		
3			Arizona Corporation Commission	
4		AZ CORP COMMISSION DOCUMENT CONTROL	DOCKETED	
5	Fax (602) 468-6451 robertmitchell@mitchelllaw.com		OCT 2, 9 2004	
6	www.mitchelllaw.com		DOCKETED BY	
7	Counsel for Respondents		V.	
8	DEFODE TY	JE ADIZONA CODDOR	ATION COMMISSION	
9	BEFORE II	HE ARIZONA CORPOR	RATION COMMISSION	
10				
11	In the matter of:)	Docket No. S-03500A-04-0000	
12)) ANSWER TO NOTICE OF	
13 14	JAMES L. OPPENHEIMER, AND SYDNEY J. OPPENHEIMER, husband and wife,		OPPORTUNITY FOR HEARING REGARDING PROPOSED ORDER	
14		`		
	695 W. Bear Claw Lane Pinetop, AZ 85935)	TO CEASE AND DESIST, ORDER FOR RESTITUTION, FOR	
15	Pinetop, AZ 85935)) Respondents)	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES,	
15 16	Pinetop, AZ 85935	Respondents.)	FOR RESTITUTION, FOR	
15 16 17	Pinetop, AZ 85935		FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION	
15 16 17 18	Pinetop, AZ 85935		FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE	
15 16 17	Pinetop, AZ 85935 For their Answer to the state of the s	he Notice of Opportunity	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION	
15 16 17 18 19	For their Answer to to Cease and Desist, Order for I	he Notice of Opportunity Restitution, for Administr	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to	
15 16 17 18 19 20	For their Answer to to Cease and Desist, Order for I	he Notice of Opportunity Restitution, for Administration above captioned matter,	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and	
15 16 17 18 19 20 21	For their Answer to to Cease and Desist, Order for I Action (the "Notice"), in the Sydney J. Oppenheimer answer	he Notice of Opportunity Restitution, for Administration above captioned matter, r, deny, and otherwise alle	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and	
15 16 17 18 19 20 21 22	For their Answer to to Cease and Desist, Order for It Action (the "Notice"), in the Sydney J. Oppenheimer answer 1. Answering paragra	he Notice of Opportunity Restitution, for Administration above captioned matter, r, deny, and otherwise alle aph 1 of the Notice, to	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and age as follows: these Respondents are without sufficient	
15 16 17 18 19 20 21 22 23	For their Answer to to Cease and Desist, Order for It Action (the "Notice"), in the Sydney J. Oppenheimer answer information to administration to administration.	he Notice of Opportunity Restitution, for Administration above captioned matter, r, deny, and otherwise alle aph 1 of the Notice, to it said paragraph, and ther	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and age as follows: these Respondents are without sufficient effore deny the same.	
15 16 17 18 19 20 21 22 23 24	For their Answer to to Cease and Desist, Order for It Action (the "Notice"), in the Sydney J. Oppenheimer answer 1. Answering paragraphin information to adm 2. Answering paragraphic control of the cont	he Notice of Opportunity Restitution, for Administration above captioned matter, r, deny, and otherwise alle aph 1 of the Notice, the it said paragraph, and ther oh 2 of the Notice, admit so	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and age as follows: these Respondents are without sufficient effore deny the same. raid paragraph.	
15 16 17 18 19 20 21 22 23 24 25	For their Answer to to Cease and Desist, Order for It Action (the "Notice"), in the Sydney J. Oppenheimer answer 1. Answering paragraphin information to adm 2. Answering paragraphic control of the cont	he Notice of Opportunity Restitution, for Administration above captioned matter, r, deny, and otherwise alle aph 1 of the Notice, to it said paragraph, and ther	FOR RESTITUTION, FOR ADMINISTRATIVE PENALTIES, AND FOR OTHER AFFIRMATIVE ACTION for Hearing Regarding Proposed Order to rative Penalties, and for Other Affirmative Respondents James L. Oppenheimer and age as follows: these Respondents are without sufficient effore deny the same. raid paragraph.	

1	4. Answering paragraph 4 of the Notice, admit said paragraph.					
2	5. Answering paragraph 5 of the Notice, admit said paragraph.					
3	6. Answering paragraph 6 of the Notice, admit said paragraph.					
4	7. Answering paragraph 7 of the Notice, admit said paragraph.					
5						
6	8. Answering paragraph 8 of the Notice, deny said paragraph.					
7	9. Answering paragraph 9 of the Notice, admit said paragraph.					
8	10. Answering paragraph 10 of the Notice, deny said paragraph.					
9	11. Answering paragraph 11 of the Notice, deny said paragraph.					
10	12. Answering paragraph 12 of the Notice, deny said paragraph.					
11	13. Answering paragraph 13 of the Notice, deny said paragraph.					
12						
13	14. Answering paragraph 14 of the Notice, admit said paragraph.					
14	15. Answering paragraph 15 of the Notice, deny said paragraph.					
15	16. Answering paragraph 16 of the Notice, deny said paragraph.					
16	17. Respondents deny each and every allegation of the Securities Division no					
17	expressly admitted herein.					
18	DATED this 28th day of October, 2004.					
19	MITCHELL LAW OFFICES					
20	A Professional Corporation					
21						
22	By (-Dall)					
23	Robert D. Mitchell					
24	Anchor Centre One, Suite 122B 2201 East Camelback Road					
25	Phoenix, Arizona 85016					
26	Counsel for Respondents					

1	ORIGINAL of the foregoing mailed		
2	this 28th day of October, 2004 to:		
3	Docket Control		
4	Arizona Corporation Commission 1200 W. Washington		
	Phoenix, Arizona 85007		
5	COPY of the foregoing mailed		
6	this 28th day of October, 2004 to:		
7	Ella G. Johnson, Ess		
8	Ella G. Johnson, Esq. Arizona Corporation Commission		
9	Securities Division		
10	1300 W. Washington, 3rd Ploor Phoenix, Arizona 85007-2996		
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12	oppenheimer-answer to notice of opportunity for hearing.doc		
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